

International Development Research Centre Centre de recherches pour le développement international

Annual Report to Parliament Access to Information Act

International Development Research Centre

2016-2017

Canadä^{*}

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1. INTRODUCTION

The International Development Research Centre (IDRC) is pleased to table its Annual Report to Parliament on the administration of the *Access to Information Act* (*ATIA* or *Act*) for fiscal year 2016-2017, as required under subsections 72(1) and 72(2) of the Act.

Purpose of the Access to Information Act

The <u>Access to Information Act</u> (R.S.C., 1985, c. A-1) was proclaimed on July 1, 1983. It was amended as a result of the <u>Federal Accountability Act</u> (S.C. 2006, c. 9).

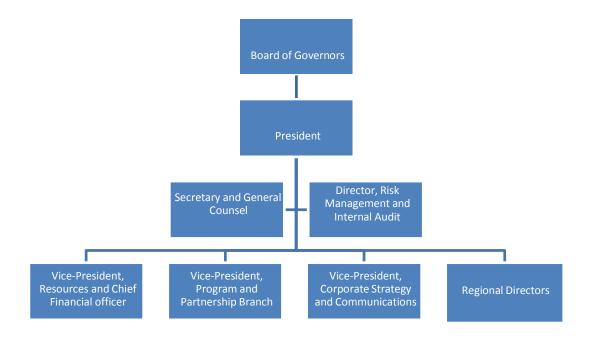
The *Act* gives Canadian citizens and permanent residents the right to have access to federal government records.

IDRC MANDATE

IDRC is a Crown corporation that was established in 1970. It is guided by a Board of Governors, appointed by the Governor-in-Council. IDRC reports to Canada's Parliament through the Minister of International Development.

The <u>IDRC Act</u> (R.S.C., 1985, c. I-19) mandates IDRC, in subsection 4(1), "to initiate, encourage, support and conduct research into the problems of the developing regions of the world and into the means for applying and adapting scientific, technical and other knowledge to the economic and social advancement of those regions."

2. ORGANIZATIONAL STRUCTURE



IDRC STRUCTURE

During the reporting period, IDRC employed approximately 376 employees, located at the head office in Ottawa, and in four regional offices around the world.

IDRC's President is the Chief Executive Officer and a member of the Board of Governors. The President's Office ensures that the Centre is an integral part of Canada's foreign affairs and development efforts by investing in knowledge, innovation, and solutions to improve lives and livelihoods in the developing world. Bringing together the right partners around opportunities for impact, IDRC builds leaders for today and tomorrow and helps drive large-scale positive change for those who need it most. The President's Office supports IDRC's programming through the Centre's regional presence in Sub-Saharan Africa; Asia; Latin America and the Caribbean and the Middle East and North-Africa.

The Program and Partnership Branch (PPB) leads the development and implementation of the Centre's research support agenda as articulated in the Board approved Strategic Plan (2015-2020). PPB works closely with partners to support researchers exploring new and innovative fields in developing countries. The goal is to fund research under the thematic areas supported by the Centre and aligned with the Government of Canada's priorities. Program staff work with partners to contribute new ideas, practices and policies and to strengthen networks. Led by a Vice-President, PPB focuses its programming to help Canada meet its foreign affairs and development goals under three areas of focus: Agriculture and Environment; Inclusive Economies; and Technology and Innovation.

Corporate Strategy and Communications Branch (CSC) oversees the Policy and Evaluation Division and the Corporate Communications Division. CSC provides leadership in developing and implementing the strategic planning, evaluation and corporate reporting functions of IDRC. Led by a Vice-President, CSC is accountable for leading the development and implementation of key relationship management strategies designed to favorably position and profile the accomplishments, contributions, and values of IDRC at the highest levels of government and in the broader Canadian public context – with a view to ensuring that IDRC's programs continue to be well understood; regarded; and resourced.

The Resources Branch (RB) is a full partner in the strategic decision-making of the Centre. It provides leadership in resources management issues, delivers services while ensuring the integrity of the Centre's operations, and facilitates the achievement of Centre goals in a manner that reflects expectations of Canadians. It is led by a Vice-President who is also IDRC's Chief Financial Officer and is responsible for the management of the financial affairs of IDRC.

STRUCTURE OF THE ACCESS TO INFORMATION AND PRIVACY OFFICE

The responsibility and accountability for the development, coordination and implementation of effective policies, guidelines, systems and procedures to enable efficient processing of requests under the *Act* rests with the Access to Information and Privacy (ATIP) Coordinator. The ATIP Coordinator is the incumbent to the Legal Coordinator position, reporting to the Secretary and General Counsel, who reports to the President.

The ATIP Coordinator oversees the implementation of the *Access to Information Act* within IDRC, and ensures compliance with the *Act*. The Office of the Secretary and General Counsel provides legal advice on the *Act* and requests as needed. The ATIP Coordinator works with IDRC's Information Management Technology Division staff to ensure that access to Centre information in all forms respects the requirements of the *Act*.

As required under section 71 of the *Act*, a reading room is provided in the IDRC library. Its public website, <u>www.idrc.ca</u>, provides considerable information on the Centre's work, including project highlights (by country) and basic information on projects including, but not limited to, funding, partner organizations and project results. <u>IDRC publications</u>, including those that result from Centre-funded projects are available online for free. Printed copies may be ordered online from the co-publisher, from one of IDRC's stock-holding distributors, or from <u>amazon.com</u>. Printed copies of IDRC publications are also available for consultation at many libraries around the world.

3. DELEGATION OF AUTHORITY

As indicated in the Designation Order of 25 April 2014 (Annex A), pursuant to section 73 of the *Act*, the President has designated the Legal Coordinator as the person designated at IDRC to exercise the powers and perform the duties and functions of the President, as the head of a government institution, under the *Act*.

4. INTERPRETATION OF THE STATISTICAL REPORT

STATISTICAL REPORT 2016-2017

The following section explains in more detail the Statistical Report on the *Act* as provided in Annex B.

PART 1: REQUESTS UNDER THE ACCESS TO INFORMATION ACT

1.1 Requests

Between April 1, 2016 and March 31, 2017, the Centre received eight requests for information under the *Act*. The Centre processed those eight requests in the reporting period.

1.2 Sources of requests

Of the eight requests received in the reporting period, one came from a business, one came from academia and six came from the public.

1.3 Multi-year trend

The number of requests received in 2016-2017 is higher than the number of request for information received in the previous reporting years of 2015-2016; 2014-2015; 2013-2014 and 2012-2013.

PART 2: REQUESTS CLOSED DURING THE REPORTING PERIOD

2.1 Disposition and completion time

In 2016-2017, IDRC did not monitor the amount of time spent by staff in compiling and responding to requests.

Of the eight requests processed:

- Two requests were processed within 1 to 15 days. Both were formal requests. One request was fully disclosed. IDRC had no information for the other request.
- Six requests were processed within 16 to 30. Information was fully disclosed for two requests. The other four were partially disclosed.

2.2 <u>Exemptions</u>

In the disposition of the eight requests responded to in fiscal 2016-2017, the following sections have been invoked:

- 15(1): International Affairs and defence for one request;
- 18 (a) and 18(b); Economic interests of Canada for one request each;
- 19(1) Personal information for two requests;
- 20(1) (b) Third party information for two requests;
- 21(1)(a) Operations of government for one request

2.3 Exclusions

No exclusions were applied.

2.4 Format of information released

Of eight requests released, whether information was fully disclosed or disclosed in part, seven requests were released in paper format and, one was released electronically.

2.5 Complexity

With respect to the six requests for which information was fully disclosed, 273 relevant pages were processed and 273 disclosed in total.

For the two requests disclosed in part, 1850 pages were processed and 1850 pages were disclosed in total.

The following is a breakdown of the relevant pages processed and disclosed by size of requests:

- Less than 100 pages processed: six requests for a total of 273 pages
- 101-500 pages processed: one request for a total of 375 pages disclosed.
- 501-1,000 pages processed: one request for a total of 1475 pages.
- 1,001-5,000 pages processed: n/a
- More than 5,000 pages processed: n/a.

In processing the requests, the Centre did not need to send any for consultation. IDRC does not do assessment of fees for the request and so none were required. Legal advice was not sought for any of the requests.

2.6 <u>Deemed refusals</u>

IDRC did not have any deemed refusals for the reporting period of 2016-2017.

2.7 Requests for translation

No translation was sought on account of any of the requests received.

PART 3: EXTENSIONS

No extensions were taken for any of the requests. All eight request were processed within 30 days.

PART 4: FEES

Under the *Act*, fees for certain activities related to the processing of formal requests can be levied. In addition to the \$5 application fee, other charges may also apply for search, production, programming, preparation, alternative formation, and reproduction of the various records, as specified in the *Access to Information Regulations*.

The application fees of \$5 were the only fees collected. Under subsection 11(6) of the *Act*, the head of a government institution may waive the requirement to pay fees, which is the approach that the Centre has opted to follow to.

PART 5: CONSULTATIONS RECEIVED FROM OTHER INSTITUTIONS AND ORGANIZATIONS

During this reporting period, the Centre received two consultations from other government institutions. Of the two consultations, 21 pages were reviewed. IDRC responded to both consultations within 1 to 15 days.

PART 6: COMPLETION TIME OF CONSULTATIONS ON CABINET CONFIDENCES

The Centre did not receive any requests requiring consultations on Cabinet confidences.

PART 7: RESOURCES RELATED TO THE ACCESS TO INFORMATION ACT

Since the Centre only has one person dedicated part-time to ATI activities and in order to protect that individual's personal information, the Centre applied the formula for salary associated with the program delivery provided in the Treasury Board ATI Business Rules and Guide 2014 to note a total cost of \$10,000.00.

5. TRAINING

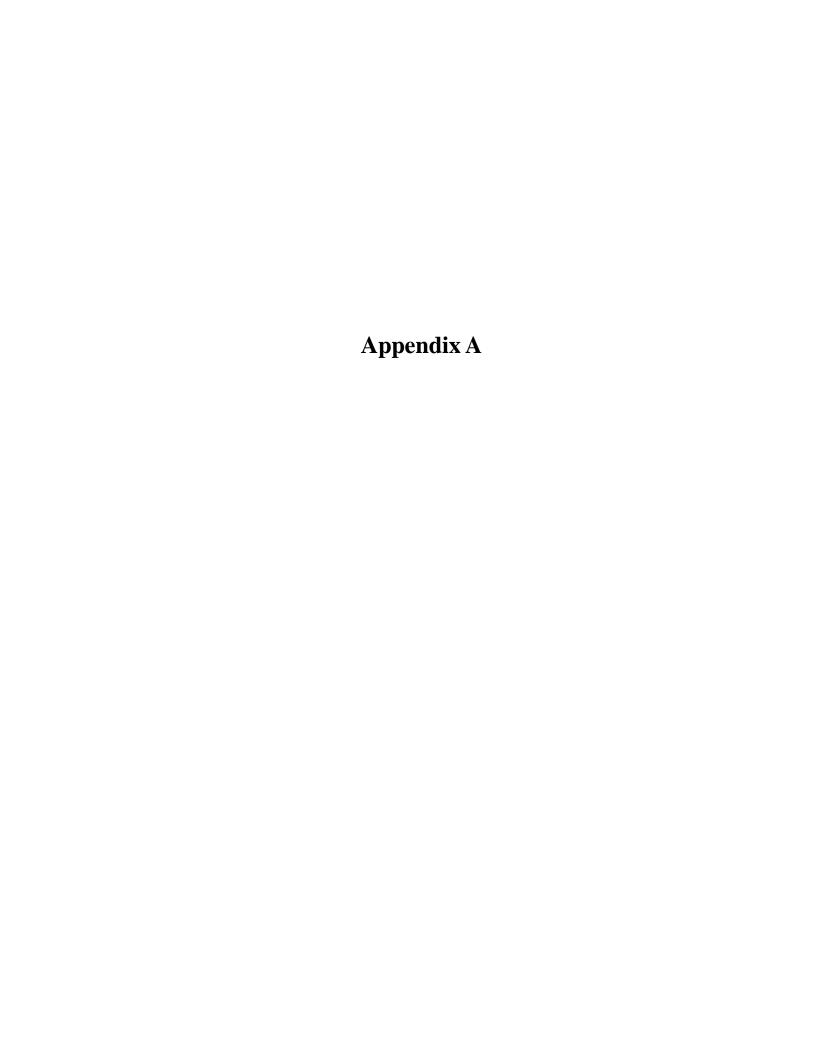
During the reporting period, the Centre did not offer any tailored training activities to its employees on the *Act*. However, as part of wider orientation and onboarding, all employees are familiarized with the *Act* and IDRC's process regarding the *Act*. A formal training program has been developed and will be rolled out to staff during the 2017-2018 reporting period.

6. INSTITUTIONAL POLICIES AND PROCEDURES

The Centre did not implement any new or revised Access to Information policies, guidelines, or procedures during the reporting period.

7. COMPLAINTS AND INVESTIGATIONS

During the reporting period, the Centre did not receive any complaints nor investigations.







International Development Research Centre Centre de recherches pour le développement international

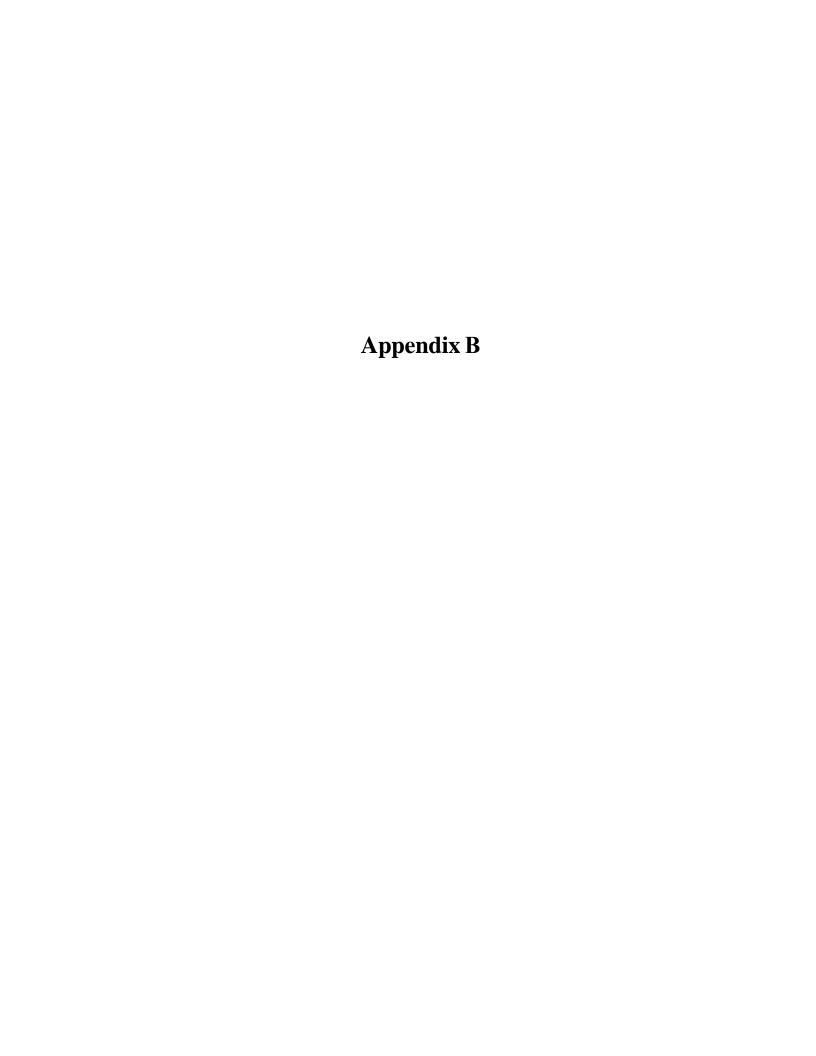
April 25, 2014

Access to Information Act, s. 73 Designation by Position (One Officer or Employee)

Access to Information Act Designation Order

The President of the International Development Research Centre (IDRC), pursuant to section 73 of the *Access to Information Act*, hereby designates the person holding the position of Legal Coordinator at IDRC to exercise the powers and perform the duties and functions of the President as the head of a government institution under the *Act*. The person holding the position of Legal Coordinator at IDRC shall exercise such powers and perform such duties under the supervision of the Secretary and General Counsel at IDRC.

Jean Lebel



Statistical Report on the Access to Information Act

Name of institution: International Development Research Centre

Reporting period: 2016-04-01 to 2017-03-31

Part 1: Requests Under the Access to Information Act

1.1 Number of requests

	Number of Requests
Received during reporting period	8
Outstanding from previous reporting period	0
Total	8
Closed during reporting period	8
Carried over to next reporting period	0

1.2 Sources of requests

Source	Number of Requests
Media	0
Academia	1
Business (private sector)	1
Organization	6
Public	0
Decline to Identify	0
Total	8

1.3 Informal requests

Completion Time								
1 to 15 Days	16 to 30 Days	31 to 60 Days		121 to 180 Days		More Than 365 Days	Total	
0	0	0	0	0	0	0	0	

Note: All requests previously recorded as "treated informally" will now be accounted for in this section only.



Part 2: Requests Closed During the Reporting Period

2.1 Disposition and completion time

	Completion Time							
Disposition of Requests	1 to 15 Days	16 to 30 Days	31 to 60 Days	61 to 120 Days		181 to 365 Days	More Than 365 Days	Total
All disclosed	2	4	0	0	0	0	0	6
Disclosed in part	0	2	0	0	0	0	0	2
All exempted	0	0	0	0	0	0	0	0
All excluded	0	0	0	0	0	0	0	0
No records exist	0	0	0	0	0	0	0	0
Request transferred	0	0	0	0	0	0	0	0
Request abandoned	0	0	0	0	0	0	0	0
Neither confirmed nor denied	0	0	0	0	0	0	0	0
Total	2	6	0	0	0	0	0	8

2.2 Exemptions

Section	Number of Requests	Section	Number of Requests	Section	Number of Requests	Section	Number of Requests
13(1)(a)	0	16(2)	0	18(a)	1	20.1	0
13(1)(b)	0	16(2)(a)	0	18(b)	1	20.2	0
13(1)(c)	0	16(2)(b)	0	18(c)	0	20.4	0
13(1)(d)	0	16(2)(c)	0	18(d)	0	21(1)(a)	1
13(1)(e)	0	16(3)	0	18.1(1)(a)	0	21(1)(b)	0
14	0	16.1(1)(a)	0	18.1(1)(b)	0	21(1)(c)	0
14(a)	0	16.1(1)(b)	0	18.1(1)(c)	0	21(1)(d)	0
14(b)	0	16.1(1)(c)	0	18.1(1)(d)	0	22	0
15(1)	1	16.1(1)(d)	0	19(1)	2	22.1(1)	0
15(1) - I.A.*	0	16.2(1)	0	20(1)(a)	0	23	0
15(1) - Def.*	0	16.3	0	20(1)(b)	2	24(1)	0
15(1) - S.A.*	0	16.4(1)(a)	0	20(1)(b.1)	0	26	0
16(1)(a)(i)	0	16.4(1)(b)	0	20(1)(c)	0		
16(1)(a)(ii)	0	16.5	0	20(1)(d)	0		
16(1)(a)(iii)	0	17	0			-	
16(1)(b)	0			_			
16(1)(c)	0						
16(1)(d)	0	* I.A.: Inte	rnational Affa	airs Def.: Defence	of Canada	S.A.: Subversive Ac	tivities

^{*} I.A.: International Affairs

Def.: Defence of Canada S.A.: Subversive Activities

2.3 Exclusions

Section	Number of Requests	Section	Number of Requests	Section	Number of Requests
68(a)	0	69(1)	0	69(1)(g) re (a)	0
68(b)	0	69(1)(a)	0	69(1)(g) re (b)	0
68(c)	0	69(1)(b)	0	69(1)(g) re (c)	0
68.1	0	69(1)(c)	0	69(1)(g) re (d)	0
68.2(a)	0	69(1)(d)	0	69(1)(g) re (e)	0
68.2(b)	0	69(1)(e)	0	69(1)(g) re (f)	0
		69(1)(f)	0	69.1(1)	0

2.4 Format of information released

Disposition	Paper	Electronic	Other Formats
All disclosed	6	0	0
Disclosed in part	1	1	0
Total	7	1	0

2.5 Complexity

2.5.1 Relevant pages processed and disclosed

Disposition of Requests	Number of Pages Processed	Number of Pages Disclosed	Number of Requests
All disclosed	273	273	6
Disclosed in part	1850	1850	2
All exempted	0	0	0
All excluded	0	0	0
Request abandoned	0	0	0
Neither confirmed nor			
denied	0	0	0

2.5.2 Relevant pages processed and disclosed by size of requests

		nan 100 rocessed	101- Pages Pr	-500 rocessed		1000 rocessed		-5000 rocessed		an 5000 rocessed
Disposition	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed
All disclosed	6	273	0	0	0	0	0	0	0	0
Disclosed in part	0	0	1	375	0	0	1	1475	0	0
All exempted	0	0	0	0	0	0	0	0	0	0
All excluded	0	0	0	0	0	0	0	0	0	0
Request abandoned	0	0	0	0	0	0	0	0	0	0
Neither confirmed nor denied	0	0	0	0	0	0	0	0	0	0
Total	6	273	1	375	0	0	1	1475	0	0

2.5.3 Other complexities

Disposition	Consultation Required	Assessment of Fees	Legal Advice Sought	Other	Total
All disclosed	0	0	0	0	0
Disclosed in part	0	0	0	0	0
All exempted	0	0	0	0	0
All excluded	0	0	0	0	0
Request abandoned	0	0	0	0	0
Neither confirmed nor	0	0	0	0	0
Total	0	0	0	0	0

2.6 Deemed refusals

2.6.1 Reasons for not meeting statutory deadline

Number of Requests Closed Past		Principal Reason					
the Statutory Deadline		External	Internal				
the Statutory Deadline	Workload	Consultation	Consultation	Other			
0	0	0	0	0			

2.6.2 Number of days past deadline

Number of Days Past Deadline	Number of Requests Past Deadline Where No Extension Was Taken	Number of Requests Past Deadline Where An Extension Was Taken	Total
1 to 15 days	0	0	0
16 to 30 days	0	0	0
31 to 60 days	0	0	0
61 to 120 days	0	0	0
121 to 180 days	0	0	0
181 to 365 days	0	0	0
More than 365 days	0	0	0
Total	0	0	0

2.7 Requests for translation

Translation Requests	Accepted	Refused	Total
English to French	0	0	0
French to English	0	0	0
Total	0	0	0

Part 3: Extensions

3.1 Reasons for extensions and disposition of requests

	9(1)(a)	9(1 Consu)(b) ultation	9(1)(c)
Disposition of Requests Where an Extension Was Taken	Interference With Operations	Section 69	Other	Third-Party Notice
All disclosed	0	0	0	0
Disclosed in part	0	0	0	0
All exempted	0	0	0	0
All excluded	0	0	0	0
No records exist	0	0	0	0
Request abandoned	0	0	0	0
Total	0	0	0	0

3.2 Length of extensions

	9(1)(a)	9(1 Consu	9(1)(c)	
Length of Extensions	Interference With Operations	Section 69	Other	Third-Party Notice
30 days or less	0	0	0	0
31 to 60 days	0	0	0	0
61 to 120 days	0	0	0	0
121 to 180 days	0	0	0	0
181 to 365 days	0	0	0	0
365 days or more	0	0	0	0
Total	0	0	0	0

Part 4: Fees

		llected	Fee Waived	or Refunded
Fee Type	Number of Requests	Amount	Number of Requests	Amount
Application	8	\$35	0	\$0
Search	0	\$0	0	\$0
Production	0	\$0	0	\$0
Programming	0	\$0	0	\$0
Preparation	0	\$0	0	\$0
Alternative format	0	\$0	0	\$0
Reproduction	0	\$0	0	\$0
Total	8	\$35	0	\$0

5

Part 5: Consultations Received From Other Institutions and Organizations

5.1 Consultations received from other Government of Canada institutions and organizations

Consultations	Other Government of Canada Institutions	Number of Pages to Review	Other Organizations	Number of Pages to Review
Received during reporting period	2	21	0	0
Outstanding from the previous reporting period	0	0	0	0
Total	2	21	0	0
Closed during the reporting period	2	21	0	0
Pending at the end of the reporting period	0	0	0	0

5.2 Recommendations and completion time for consultations received from other Government of Canada institutions

	Numb	er of Da	ys Requi	red to C	omplete	Consulta	tion Req	uests
Recommendation	1 to 15 Days	16 to 30 Days	31 to 60 Days	61 to 120 Days	121 to 180 Days	181 to 365 Days	More Than 365 Days	Total
Disclose entirely	2	0	0	0	0	0	0	2
Disclose in part	0	0	0	0	0	0	0	0
Exempt entirely	0	0	0	0	0	0	0	0
Exclude entirely	0	0	0	0	0	0	0	0
Consult other institution	0	0	0	0	0	0	0	0
Other	0	0	0	0	0	0	0	0
Total	2	0	0	0	0	0	0	2

5.3 Recommendations and completion time for consultations received from other organizations

	Numb	er of Da	ys Requi	red to C	omplete	Consulta		uests
							More	
		16 to	31 to	61 to	121 to	181 to	Than	
	1 to 15	30	60	120	180	365	365	
Recommendation	Days	Days	Days	Days	Days	Days	Days	Total
Disclose entirely	0	0	0	0	0	0	0	0
Disclose in part	0	0	0	0	0	0	0	0
Exempt entirely	0	0	0	0	0	0	0	0
Exclude entirely	0	0	0	0	0	0	0	0
Consult other institution	0	0	0	0	0	0	0	0
Other	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0

Part 6: Completion Time of Consultations on Cabinet Confidences

6.1 Requests with Legal Services

		han 100 ocessed) Pages essed	501-1000 Pages Processed		501-1000 Pages Processed F		1001-5000 d Pages Processed		More Than 5000 Pages Processed	
Number of Days	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed		
1 to 15	0	0	0	0	0	0	0	0	0	0		
16 to 30	0	0	0	0	0	0	0	0	0	0		
31 to 60	0	0	0	0	0	0	0	0	0	0		
61 to 120	0	0	0	0	0	0	0	0	0	0		
121 to 180	0	0	0	0	0	0	0	0	0	0		
181 to 365	0	0	0	0	0	0	0	0	0	0		
More than 365	0	0	0	0	0	0	0	0	0	0		
Total	0	0	0	0	0	0	0	0	0	0		

6.2 Requests with Privy Council Office

		han 100 rocessed	101–500 Pages Processed		501-1000 Pages Processed		1001-5000 Pages Processed		More Than 5000 Pages Processed	
Number of Days	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed
1 to 15	0	0	0	0	0	0	0	0	0	0
16 to 30	0	0	0	0	0	0	0	0	0	0
31 to 60	0	0	0	0	0	0	0	0	0	0
61 to 120	0	0	0	0	0	0	0	0	0	0
121 to 180	0	0	0	0	0	0	0	0	0	0
181 to 365	0	0	0	0	0	0	0	0	0	0
More than 365	0	0	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0	0	0

Part 7: Complaints and Investigations

Section 32	Section 35	Section 37	Total
0	0	0	0

Part 8: Court Action

Section 41	Section 42	Section 44	Total
0	0	0	0

Part 9: Resources Related to the Access to Information Act

9.1 Costs

Expenditures		Amount
Salaries		\$10,000
Overtime		\$0
Goods and Services		\$0
Professional services contracts	\$0	
Other	\$0	
Total		\$10,000

9.2 Human Resources

Resources	Person Years Dedicated to Access to Information Activities
Full-time employees	0.50
Part-time and casual employees	0.00
Regional staff	0.00
Consultants and agency personnel	0.00
Students	0.00
Total	0.50

Note: Enter values to two decimal places.